

08/03/01  
JC960 U.S. PTO

08-06-01  
UTILITY PATENT APPLICATION TRANSFERS  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

A

Attorney Docket No.: 3123-373

Inventors: G. Herbert Lin of 1233 Mt. Quail Circle, San Jose, California 95120  
Davide Guarisco of 559 Matadero Avenue, #7, Palo Alto, California 94306  
Xiaokun Chew of 7227 Emami Drive, San Jose, California 95120

J1017 U.S. PTO  
09/922459  
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Express Mail Label No.: EL684862984US

Title: METHOD AND APPARATUS FOR PROVIDING AN EARLY WARNING OF THERMAL  
DECAY IN MAGNETIC STORAGE DEVICES

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

This application claims priority from U.S. Provisional Patent Application No. 60/223,444 filed August 4, 2000. The entire disclosure of the provisional application is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference. Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Specification (Total Pages of Text, including Abstract and Claims: 41)
2. ☒ Drawing(s) (35 USC 113) (Total Sheets: 6) ☐ FORMAL ☒ INFORMAL
3. ☒ Oath or Declaration (Total Pages: 3) ☒ Signed ☐ Unsigned
4. ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).
5. ☒ Assignment Papers (cover sheet & document(s))
6. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
7. ☒ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☒ Information Disclosure Statement (IDS/PTO-1449)
10. ☒ Copies of IDS Citations (Number of References: 2)
11. ☐ Preliminary Amendment
12. ☒ Return Postcard (MPEP 503) (should be specifically itemized)
13. ☐ Small Entity Statement(s)
14. ☐ Certified copy of Priority Document(s)
15. ☒ Checks in the amount of \$1,544.00 and \$40.00

**FEE CALCULATION:**

	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$355.00	OR		\$710.00
TOTAL CLAIMS:	53	-	20	33	X \$9 =		OR	X \$18 =	\$594.00
INDEP. CLAIMS:	6	-	3	3	X \$40 =		OR	X \$80 =	\$240.00
MULTIPLE DEPENDENT CLAIMS					+ \$135 =		OR	+\$270 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "O" IN COL. 2.					TOTAL:				\$1,544.00

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- Bradley M. Knepper**  
**SHERIDAN ROSS P.C.**  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
Telephone: (303) 863-9700  
Facsimile: (303) 863-0223

SHERIDAN ROSS P.C.

Date: August 3, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

SIGNATURE: James H. Innes

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

LIN et al

Title

METHOD AND APPARATUS FOR PROVIDING AN EARLY  
WARNING OF THERMAL DECAY IN MAGNETIC STORAGE  
DEVICES

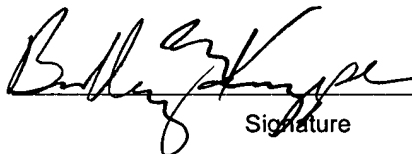
Atty Docket Number

3123-373

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 3, 2001  
Date

  
Signature

Bradley M. Knepper, Registration No. 44,189

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**